



Terms of Reference (ToRs)

Establishing Environmental, Social and Economic Baselines and developing and Interactive M&E Framework for the Resilience for People and Landscapes Programme

Summary Organization	International Union for Conservation of Nature (IUCN), http://www.iucn.org
Project	Resilience for People and Landscapes Programme (REPLAP)
Position type	Short term consultancy
Study/assessment topic	Baseline study and set up a monitoring and evaluation (M&E) framework for REPLAP
Position location	Lower Tana and Aswa Agago Catchments in Kenya and Uganda respectively
Duration	45 days
Reporting to	Sophie Kutegeka, IUCN UCO
Working with	IUCN ESARO [IUCN- Garissa, IUCN Country Office (Kampala, Uganda), IUCN – Lira] and field partners
Application deadline	17 th February 2020
Starting date	1 st March 2020
Vacancy contacts	Sophie.Kutegeka@iucn.org

I. Background

The International Union for Conservation of Nature, IUCN, is the world's oldest and largest global environmental organization that focuses on finding pragmatic solutions to our most pressing environment and development challenges. IUCN has three programme areas: (1) Valuing and conserving nature; (2) Effective and equitable governance of nature's use and (3) Deploying nature-based solutions to global challenges in climate, food and development.

IUCN mandate is achieved by supporting scientific research, managing field projects all over the world, and creating a platform for governments, NGOs, the UN and companies to work together to develop policy, laws and best practice. IUCN's Eastern and Southern African Regional Office (ESARO) have thematic programmes with various projects and programmes in the region. One such programme is the Resilience for People and Landscapes Programme (REPLAP) funded by Austrian Development Agency (ADA).

The REPLAP is being implemented in the Lower Tana sub-catchment in Kenya and Upper Aswa-Agago sub-catchment in Uganda. These are arid and semi-arid (ASAL) areas where communities within the catchments face multiple challenges including recurrent droughts and floods and resource use conflicts that hinder development and livelihood strategies.

The REPLAP builds on a two phase Building Drought Resilience (BDR) Project which was implemented in the same landscapes between 2012-2018. BDR phase I (2012-2014) targeted to build resilience of dryland communities within the river catchments to the impacts of increasingly severe and frequent drought, through strengthened ecosystem management and adaptive capacity and used IUCN resilience framework as building. The BDR phase I project proved a success hence recommendation to scale up the successful approaches and consolidate the achievements of BDR Phase I was made by the Mid-Term Review in July 2014. Phase 2 (2015-2018) consolidated the gains and scaled up the approaches at landscape level in Lower Tana sub-catchment in Kenya and 5 districts in Upper Aswa-Agago sub-catchment located in Nile Water Management Zone in Uganda.

REPLAP is a foundational contribution to the regional multi-year Resilience Programme in Eastern and Southern Africa's rangelands which will contribute to increasing natural resource management capacity of communities and stakeholders within the target catchments. The overall objective of the REPLAP is to *strengthen the resilience of communities to the impacts of increasingly severe and frequent climate disasters within well-managed and functioning river catchments and ecosystems over a 3-year period.*

The output areas of the programme are:

Output 1: Institutional governance strengthened for climate resilience. Consolidation of the climate-responsive mitigation and adaptation plans developed to support institutional strengthening, capacity building and learning at national and sub-national levels.

Output 2: Sustainable catchment restoration and management: Integrity, functioning and productivity of catchments and ecosystems is enhanced by appropriate techniques for restoration, and sustainable management

Output 3: Climate adaptive livelihoods systems supported: Climate resilient value chains that promote enterprise development are strengthened and are underpinned by innovative inclusive finance models including the CECF.

2. Location

In Kenya, the REPLAP build on the BDR project sites which are located in the Lower Tana River Basin (Tana River and Garissa Counties), particularly in the six sub-catchments of Tula, Al-Amin Moju, Bangale, Saka, Kasha and Khorweyne and an additional 4 sub catchments where the REPLAP will be scaled up, targeting a total of 124,000 beneficiaries. The main implementing partner is Water Resource Management Authority (WRMA) and other partners including national and county government institutions (National Drought Management Authority, Water, Forest and Wildlife, Livestock, Agriculture, Irrigation, NEMA, Administration), civil society organizations and communities. In Uganda, the BDR project was implemented in 8 parishes within 5 districts of Lira, Otuke, Alebtong, Agago and Amuria/Kapelebyong within

the Aswa-Agago sub catchment. The REPLAP will scale up to an additional 5 parishes within the same 5 districts in the landscape, targeting a total of 80,000 beneficiaries.

3. Objectives and tasks

The objective of this study is to:

- 1) Conduct a biophysical and socio-economic baseline study (indicator baseline focused) for the programme location in Uganda and Kenya and produce a detailed consolidated report to enable a better understanding of the socio-economic and environmental status of the people and landscape with particular focus on the project sites
- 2) Determine, document and develop baseline indicators and an M&E Framework for measuring socio-economic parameters, environmental conditions in both BDR and REPLAP sites

3.1. Baseline study

The following tasks will be undertaken for the baseline study

3.1.1 Social, Knowledge and Capacity Parameters

- I. Using resilience measurement indices , determine the level of adaptive, productive and transformative capacities of beneficiary households (including both Male and Female headed households)
- II. Assess the capacity and knowledge level of communities in development and implementation of policies and bylaws on natural resource governance arrangements (Women, Men, and Youth)
- III. Determine level of knowledge of communities (men, women, and youth) on climate-responsive planning, mitigation, adaptation and disaster resilience within catchment area.
- IV. Determine the level of knowledge and skills in governance and management of natural resources within the target community areas

3.1.2 Economic Parameters

- V. Determine income and poverty levels of the communities within the program target area (women, men, youth) including determining the number of households living below the poverty line in the targeted river catchments;
- VI. Monthly/annual income from current livelihood types
- VII. Assess Type of livelihoods practiced by target beneficiaries in the project areas/respective sub-catchments including number of people engaged in climate resilient value chains/enterprises within the target communities (women, men, youth) percentage of communities practicing each type of livelihood also indicating livelihood diversification/complementary options ongoing or anticipated
- VIII. Determine the level of skills and knowledge in nature-based enterprises of the community members including their numbers (women, men, youth)
- IX. Status of Community micro-credit initiatives and its linkages to natural resources management and conservation in project sites

- X. Assess the level of compliance and implementation of the Community Environment Conservation Fund (CECF) by the beneficiary communities in the project areas, including performance of the loans, payback compliance, uses of the CECF by the beneficiary communities

3.1.3 Environmental Parameters

- XI. Determine the number of households adversely affected by climate disasters in the targeted river catchments
- XII. Rangeland scored as degraded under the project, Community assessment of the percentage/proportion of the rangelands/ecosystems degraded or in healthy condition
- XIII. Extent and factors contributing to degradation/healthiness of the rangelands
- XIV. Determine level of degradation of the target areas including agricultural lands, wetlands, rangelands (hectares)
- XV. Determine number of households experiencing conflicts over access to water and fodder within the target areas

3.2. Monitoring and Evaluation Framework

Based on the overall objectives of the project and the findings of the baseline study from Kenya and Uganda the consultant is required to develop a robust M&E framework that includes information such as: Indicator definition and unit of measurement, baseline values, method/approach of data collection, data collection schedule/frequency & responsibility, reporting schedule & responsibility and use of M&E information.

4. Outputs of the assignment

The consultant(s) is/are expected to deliver the following outputs to be approved IUCN at all relevant stages of the consultancy:

- 1) **Inception Report** explaining the approach and methodology to be used in executing the assignment.
- 2) **Draft and Final Baselines Reports (economic, environmental, and social, for each site) clearly indicating the baseline values for the above parameters.** The baseline values should be in the units of measurement indicated in the project documents.
- 3) **Draft and Final M&E report including an Interactive M&E Framework and Knowledge Management system** for tracking progress, developed based on the assessed and defined environmental, social, and economic baselines indicators.

5. Duration of the consultancy

This consultancy is expected to last for 45 days within the months of March-May 2020

6. Profile of the suitable candidate

The suitable team/consortium of consultants should have adequate experience working within the region, specifically in northern Uganda and north eastern Kenya, executing similar tasks and assignments. The ideal team should have a mix of expertise in natural resource management preferably in semi-arid areas, social sciences and economic assessments in similar landscapes in addition to:

- A postgraduate degree (at least Master's degree) in natural resource management, environmental or social sciences from recognized university,
- Knowledge on development issues, gender, cultures, climate change and the drylands of Kenya and Uganda,
- Over 10 years of experience in natural resource management, water, pastoralism and agro-pastoralism,
- Proven experience in execution of baseline study, designing and conducting of monitoring and evaluation of projects in natural resource management,
- Demonstrated high level of professionalism and ability to work independently in high pressure situations under tight deadlines,
- Strong communication skills,
- High proficiency in English language both written and spoken.

7. Submission of proposal

Interested candidates should email their technical and financial proposals (quote in US Dollars), along with detailed CVs of their teams members **not later than 5.00 p.m. EAT, 17th February 2020** to:

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