



Request for Proposals (RfP)

Consultancy Services for Training design and implementation on policies to reduce plastic waste generation and leakage in Grenada

International Union for Conservation of Nature and Natural Resources
Regional Office for Mexico, Central America and the Caribbean
Name of the Project: Plastic Waste Free Islands
Budget Line: PA03025.B3

Interested Proposers are hereby invited to submit a technical and financial proposal for the aforementioned Consultancy Service Please read the information and instructions carefully because non-compliance with the instructions may result in disqualification of your Proposal from this Procurement.

1. REQUIREMENTS

- 1.1. A detailed description of the services to be provided can be found in Annex 1 (Terms of Reference)

2. CONTACT DETAILS

- 2.1. During the course of this RfP, from its publication to the award of a contract, you may not contact or discuss this procurement with any IUCN employee or representative other than the following contact. You must address all correspondence and questions to the contact, including your proposal.

IUCN Contact: Procurement Officer; procurement.Ormac@iucn.org

3. PROCUREMENT TIMETABLE

- 3.1. This timetable is indicative and may be changed by IUCN at any time. If IUCN decides that changes to any of the deadlines are necessary, that will be informed.

DATE	ACTIVITY
11/05/2022	Publication of the Request for Proposals
27/05/2022	Deadline for submission of questions
29/05/2022	Deadline for submission of proposals to IUCN (" Submission Deadline ")
01/06/2022	Clarification and evaluation of proposals
02/06/2022	Planned date for contract award
20/06/2022	Expected contract start date

3.2. Please email the IUCN contact to confirm whether or not you are intending to submit a proposal by the deadline stated below.

4. COMPLETING AND SUBMITTING A PROPOSAL

4.1. Your Proposal must consist of the following documents:

ADMINISTRATIVE REQUIREMENTS

- a. Copy of identification document or passport (in case of being a foreigner)
- b. Copy of legal invoice for fees collection.
- c. Copy of resume
- d. Letter of interest signed, indicating that the Consultant have read, understood and accept the content of these Terms of Reference. (Annex 2)
- e. Signed Declaration of Undertaking (Annex 3a)
- f. Human Resources Questionnaire filled and signed (Annex 4)

For Consultancy Companies:

- a. Copy of Certification of Incorporation
- b. Copy of identification document of the Legal Representative
- c. Copy of legal invoice for fees collection.
- d. Copy of resumes of the Consulting Team
- e. Letter of interest signed, indicating that the Consultant have read, understood and accept the content of these Terms of Reference. (Annex 2)
- f. Signed Declaration of Undertaking signed by the Representative of the Consulting Company or, in the case of a Consortium or group of Consultants, signed by each member indicating that they have read and understood the content of the Declaration (Annex 3b)
- g. Human Resources Questionnaire filled and signed (Annex 4)

TECHNICAL PROPOSAL:

Note that the technical proposal is not an identical copy of the ToR. The document should reflect the methodology and work proposal that the Consultant intends to develop to achieve the objectives of the consultancy in question

- a. **Methodology:** The Proposer will describe the methodology to be implemented in the consultancy in a clear, consistent and precise way, indicating the actors with whom it will coordinate and the procedures, instruments and parameters to be used to carry out all the activities necessary to obtain the deliverables.
- b. **Schedule of activities:** The Proposer must set out in the schedule of activities the logical dimension of time according to the scope of the required deliverables.

If a company or group of consultants is applying, the proposal should include the following additional information:

- Person in charge of the consultancy
- Composition of the consultant team, specialty of each member (include CV)
- Each member's role and responsibility in activities/products in accordance with ToR

Members of a consulting team will be considered "Key Personnel" and if the contract is awarded, the replacement of any "Key Personnel" will require the prior approval of IUCN

FINANCIAL PROPOSAL

- a. Signed by the Proposer, indicating the value of professional services (in USD) in numbers and letters.
 - b. Submitted prices are deemed to include all costs, insurances, taxes, fees, liabilities, obligations and risks for the performance of the Terms of Reference.
 - c. The Proposer must: a) duly pay the taxes and/or contributions in the country of execution of the contract; b) have up-to-date medical and life insurance and c) will cover bank fees for transfers.
 - d. If local or international travel must be made for the execution of this Contract, the costs will be paid by IUCN through reimbursement and will be governed by the IUCN per diem scale for DSA and Hotel lodging.
- 4.2. The Proposal must be submitted by email to the IUCN Contact (see Section 2). The subject heading of the email shall be [RfP Reference – Proposer name]. The Proposer name is the name of the company/organisation on whose behalf the proposal is being submitted, or the surname of the Proposer in case is bidding as a self-employed consultant. The proposal must be submitted in PDF format. The Proposer may submit multiple emails suitably annotated, e.g. Email 1 of 3, if attached files are too large to suit a single email transmission. You may not submit your Proposal by uploading it to a file-sharing tool (eg. Dropbox, Google Drive, etc)
- 4.3. *Eligibility*
Not applicable.
- 4.4. Additional information not requested by IUCN should not be included in your Proposal and will not be taken into account in the evaluation.
- 4.5. The proposal must remain valid and capable of acceptance by IUCN for a period of 60 calendar days following the submission deadline.

4.6. *Withdrawals and Changes*

Proposers may freely withdraw or change their proposal at any time prior to the submission deadline by written notice to the IUCN Contact. However, in order to reduce the risk of fraud, no changes or withdrawals will be accepted after the submission deadline.

5. EVALUATION OF PROPOSALS

5.1. *Completeness*

IUCN will firstly check your proposal for completeness. Incomplete proposals will not be considered further.

5.2. *Technical Evaluation*

IUCN will evaluate technical proposals with regards to each of the following criteria and their relative importance:

	Description	Information to provide	Relative weight
1	Technical quality of the proposal	Summary of consultancy work experience relevant to tasks within scope of this contract (for all members of consultancy team if applicable). Scope of work.	30%
2	Innovative tools and methodologies proposed	Detailed overview of the process / approach to	50%

		achieve consultancy objectives and produce the deliverables.	
3	Feasibility of the proposed workplan	Gantt chart detailing activities to be implemented and respective timelines, as well as delivery of technical products	20%
TOTAL			100%

5.2.1. Scoring Method

Proposals will be assigned a score from 0 to 10 for each of the technical evaluation criteria, such that '0' is low and '10' is high. Proposals that receive a score of '0' for any of the criteria will not be considered further.

5.2.2. Technical Score

The score for each technical evaluation criterion will be multiplied with the respective relative weight and these weighted scores added together to give the proposal's overall technical score.

5.3. Financial Evaluation and Financial Scores

The financial evaluation will be based upon the full total price submitted. Financial proposals will receive a score calculated by dividing the lowest financial proposal that has passed the minimum quality thresholds by the total price of your financial proposal.

5.4. Total Score

The proposals total score will be calculated as the weighted sum of the technical score and financial score.

The relative weights will be:

Technical: 70%
Financial: 30%

Subject to the requirements in Sections 4 and 7, IUCN will award the contract to the Proposer whose proposal achieves the highest total score.

6. EXPLANATION OF PROCUREMENT PROCEDURE

- 6.1. IUCN is using the Invitation Procedure for this procurement. This means that only invited bidders may submit a proposal. IUCN typically invites from four to six bidders to submit a proposal.
- 6.2. You are welcome to ask questions or seek clarification regarding this procurement. Please email the IUCN Contact (see Section 2), taking note of the deadline for submission of questions in Section 3.1. Late proposals will not be considered. All proposals received by the submission deadline will be evaluated by a team of three or more evaluators in accordance with the evaluation criteria stated in in this RfP. No other criteria will be used to evaluate proposals. The contract will be awarded to the Proposer whose proposal received the highest Total Score. IUCN does, however, reserve the right to cancel the procurement and not award a contract at all.
- 6.3. IUCN will contact all Proposers who submitted their proposal to inform them of the outcome of the evaluation. The timeline in Section 3.1 gives an estimate of when the contract award is

expected to be completed, however this date may change depending on how long the evaluation of the proposals takes.

7. CONDITIONS FOR PARTICIPATION IN THIS PROCUREMENT

7.1. To participate in this procurement, the Proposers are required to submit a proposal, which fully complies with the instructions in this RfP and the Attachments.

7.1.1. It is responsibility of each Proposer to ensure the submission of a complete and fully compliant proposal.

7.1.2. Any incomplete or incorrectly completed proposal submission may be deemed non-compliant, and as a result will not be considered to proceed further in the procurement process.

7.1.3. IUCN will query any obvious clerical errors in a proposal and may, at IUCN's sole discretion, allow a Proposer to correct these, but only if doing so could not be perceived as giving an unfair advantage.

7.2. In order to participate in this procurement, the Proposer must meet the following conditions:

- Free of conflicts of interest
- Registered on the relevant professional or trade register of the country in which is established (or resident, if self-employed)
- In full compliance with its obligations relating to payment of social security contributions and of all applicable taxes
- Not been convicted of failing to comply with environmental regulatory requirements or other legal requirements relating to sustainability and environmental protection
- Not bankrupt or being wound up
- Never been guilty of an offence concerning professional conduct
- Not involved in fraud, corruption, a criminal organisation, money laundering, terrorism, or any other illegal activity.

7.3. Each Proposer shall submit only one proposal, either individually or as a partner in a joint venture. In case of joint venture, one company shall not be allowed to participate in two different joint ventures in the same procurement nor shall a company be allowed to submit a proposal both on its behalf and as part of a joint venture for the same procurement. A Proposer who submits or participates in more than one proposal (other than as a subcontractor or in cases of alternatives that have been permitted or requested) shall cause all the proposals with the Proposer's participation to be disqualified.

7.4. By taking part in this procurement, the Proposer accept the conditions set out in this RfP, including the following:

- It is unacceptable to give or offer any gift or consideration to an employee or other representative of IUCN as a reward or inducement in relation to the awarding of a contract. Such action will give IUCN the right to exclude you from this and any future procurements, and to terminate any contract that may have been signed with you.
- Any attempt to obtain information from an employee or other representative of IUCN concerning another bidder will result in disqualification.
- Any price fixing or collusion with other Proposers in relation to this procurement shall give IUCN the right to exclude you and any other involved bidder(s) from this and any future procurements and may constitute a criminal offence.

8. CONFIDENTIALITY AND DATA PROTECTION

8.1. IUCN follows the European Union's General Data Protection Regulation (GDPR). The information a Proposer submits to IUCN as part of this procurement will be treated as confidential and shared only as required to evaluate the proposal in line with the procedure

explained in this RfP, and for the maintenance of a clear audit trail. For audit purposes, IUCN is required to retain the proposals in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

- 8.2. In the Declaration of Undertaking (Attachment 3) the Proposer needs to give IUCN express permission to use the information submitted in this way, including personal data that forms part of the proposal. Where a Proposer include personal data of employees (e.g. CVs) in the proposal, the Proposer needs to have written permission from those individuals to share this information with IUCN, and for IUCN to use this information as indicated in 8.1. Without these permissions, IUCN will not be able to consider the proposal.

9. COMPLAINTS PROCEDURE

If a Proposer has a complaint or concern regarding the propriety of how a competitive process is or has been executed, then please contact sofiamariela.madrigal@iucn.org. Such complaints or concerns will be treated as confidential and are not considered in breach of the above restrictions on communication (Section 2.1).

10. CONTRACT

The contract will be based on IUCN's template which terms of which are not negotiable.

11. ANNEXES

Annex 1 *Specification of Requirements / Terms of Reference*

Annex 2 *Letter of Interest*

Annex 3 *Declaration of Undertaking (select 2a for companies or 2b for self-employed)*

Annex 4: *Human Resources Questionnaire*

**ATTACHMENT 1
TERMS OF REFERENCE**

**The Regional Office for Mexico, Central America and the Caribbean of the
International Union for the Conservation of Nature**

(IUCN-ORMACC)

REQUIRES

**PROFESSIONAL CONSULTANCY SERVICES
FOR**

*“Training design and implementation on policies to reduce plastic waste generation and leakage in
Grenada”*

Type of Contract:	Professional Consultancy Services
Period:	5 months
Availability:	Immediate
IUCN Person Responsible for Supervision:	Domenique Finegan, Technical Officer

1. BACKGROUND AND JUSTIFICATION

About IUCN

IUCN is a membership Union uniquely composed of both government and civil society organisations. It provides public, private and non-governmental organisations with the knowledge and tools that enable human progress, economic development and nature conservation to take place together.

Created in 1948, IUCN is now the world’s largest and most diverse environmental network, harnessing the knowledge, resources and reach of more than 1,400 Member organisations and some 18,000 experts. It is a leading provider of conservation data, assessments and analysis. Its broad membership enables IUCN to fill the role of incubator and trusted repository of best practices, tools and international standards.

IUCN provides a neutral space in which diverse stakeholders including governments, NGOs, scientists, businesses, local communities, indigenous peoples organisations and others can work together to forge and implement solutions to environmental challenges and achieve sustainable development.

Working with many partners and supporters, IUCN implements a large and diverse portfolio of conservation projects worldwide. Combining the latest science with the traditional knowledge of local communities, these projects work to reverse habitat loss, restore ecosystems and improve people’s well-being.

www.iucn.org
<https://twitter.com/IUCN/>

About the Project

Up to 14 million tons of plastic waste enter the ocean every year. Many of the efforts to minimise and mitigate impacts of plastic waste focus on tackling the sources on continental land, rivers and coastal areas.

The Plastic Waste-Free Islands (PWFI) project, funded by the Norwegian Agency for Development Cooperation (Norad) and managed by IUCN, seeks to make a significant, quantified reduction in plastic waste generation and leakage within the planned project timeframe of three years. This is a global initiative, working in Pacific and Caribbean Small Island Developing States (SIDS). In the Caribbean region, the project focuses in Antigua and Barbuda, Grenada, and Saint Lucia, and involves participation of the country governments, as well as regional partner, the Organisation of Eastern Caribbean States (OECS). PWFI is part of the IUCN global “*Close the Plastic Tap*” programme.

The project’s overarching goal is to drive the circular economy agenda forward and to reduce plastic waste generation and leakage from islands.

The project aims to:

- Improve knowledge of plastic waste generation among target islands and demonstrate a measurable increase in policy effectiveness to reduce plastic waste generation and enhance waste disposal methods.
- Enhance adoption of plastic leakage reduction measures by tourism, fisheries and waste management sectors, and generate sector-specific action plans for alternative value chains.
- Repurpose waste into commercially viable products, thereby generating job opportunities and income for local communities.
- Develop a blueprint for islands, for endorsement by regional bodies. The blueprint will capture IUCN’s recommended approach to reduce plastic waste generated and plastic leakage on islands based on the strategy adopted for PWFI and lessons learned.

To achieve its objectives, PWFI works through four interconnected pillars:

1. **Knowledge:** IUCN is using a plastic footprint calculator to assess the plastic leaking into the marine environment. IUCN works with countries to co-generate credible data and analysis to understand their current plastic leakage status, set targets, implement actions, and track progress towards targets over time.
2. **Capacity:** IUCN is facilitating collaboration amongst key stakeholders (public and private, as well as the informal waste sector) to share best practices, especially best available technologies, to enhance plastic waste management measures.
3. **Policy:** IUCN is supporting policy and legislative analysis and reform, to minimize plastic waste leakage.
4. **Business:** IUCN is working with the private sector, with a focus on the tourism, fisheries and waste management sectors, to enhance their plastic waste management measures.

The project has the following components that are complementary:

- **Material Flow Analysis:** quantification and qualification of plastic waste;
- **Value chains:** commercial solutions for recyclable plastic;
- **Most Suitable Processes/Technologies:** solutions for addressing non-recyclable plastic;
- **Economics and policy:** Identify and support enabling conditions for uptake of recommendations; and

- **PWFI Blueprint:** a document that defines a replicable and scalable methodology to address plastic waste management and leakage.

This TOR is issued under Outcome 1 of the project which seeks to improve knowledge of waste generation among target islands and a measurable increase in policy effectiveness to reduce plastic waste generation and leakage. In order to achieve this, individuals need to be aware of national policies that aim to decrease plastic contamination, such as the Grenadian ban on Styrofoam food containers, single use plastic bags and other disposable plastic items. These actions to mitigate plastic pollution are expected to contribute to improved conditions for ecosystem restoration, rehabilitation and recovery in Grenada; they are also expected to help improve the quality of the marine environment.

This consultancy will result in the development of a training handbook and conduction of capacity building sessions in Grenada to facilitate continued learning on the Act and streamline proliferation of necessary knowledge on its key elements, including detailed information on: appropriate and acceptable alternatives for stakeholders to comply with new regulations, cost incentives and anticipated social, environmental and economic impacts. The handbook and methodology developed will be used for replication in the other PWFI countries of intervention/SIDS.

2. OBJECTIVES OF THE CONSULTANCY

2.1. General:

Design and implement a comprehensive training on the Grenadian ban on Styrofoam food containers, single use plastic bags and other disposable plastic items, that:

- Targets importers of materials and customs officials on understanding the current regulations, specifically how to interpret the regulations and ensure conformity of imported products to meet the requirements; and
- Provides data and information needed to support the approval of the importation.

2.2. Specific:

- Conduct a pre-training assessment to determine available information and resources, confirm capacity building needs and key government and private sector stakeholders to involve. The consultant will identify and facilitate the engagement of stakeholders in collaboration with the national authorities and IUCN.
- Design customised training modules, a training handbook, and materials.
- Conduct four (4) face-to-face group training sessions with key identified stakeholders in collaboration with the national authorities and IUCN.
- Undertake a post-training assessment against pre-training assessment.

3. EXPECTED DELIVERABLES¹

No.	Deliverable	Description
1	Pre-training assessment report	The pre-training assessment report must include (but is not limited to):

¹ All report templates are to be discussed and agreed with IUCN.

		<ul style="list-style-type: none"> • A stakeholder list. • Pre-training questionnaire². • Capacity needs assessment. • A description of relevant information and available resources.
2	Training modules, handbook and materials	Customised training modules and handbook, training programme schedules and materials for training sessions.
3	Post-training assessment questionnaire	Post-training assessment questionnaire to determine if the training achieved expected results in terms of learning.
4	Final consultancy report	<p>Final consultancy report on completed training sessions. The report must include (but is not limited to):</p> <ul style="list-style-type: none"> • Training objectives • Lists of participants • Post-training assessment results • Achievements • Lessons learned and recommendations for replication

4. INTELLECTUAL PROPERTY

All Intellectual Property rights conceived or made by the Consultant / Consulting Firm in the course of providing the Services will belong to IUCN.

5. SCHEDULING AND TIMETABLE FOR DELIVERING PRODUCTS

5.1. Activities and programming³

Specific objectives	Activities	Months				
		1	2	3	4	5
Conduct a pre-training assessment to determine available information and resources, confirm capacity building needs and key government and private sector	<ul style="list-style-type: none"> • Desktop review of relevant information and resources. • Meetings with the national authorities and IUCN. • Confirm private sector and government stakeholders to involve in training sessions, inclusive of stakeholders from the island of Carriacou. • Develop and execute pre-training assessment test. • Analyse assessment results and consolidate report. 					

² The pre-training questionnaire must be submitted for IUCN feedback prior to its application.

<p>stakeholders to involve. The consultant will identify and facilitate the engagement of stakeholders in collaboration with the national authorities and IUCN.</p>					
<p>Design customised training modules, a training handbook, and materials.</p>	<ul style="list-style-type: none"> • Research of information to be used for training modules. • Define technical content for training modules and handbook. • Incorporate the latest best practices, recent desk and field research, and inputs from stakeholders. • Prepare training materials and lesson plans. • Distribution of information to the national authorities and IUCN; feedback will be presented to the consultant for further incorporation into handbook/materials. • Develop training programme, outlining the basis for the trainings to be completed. • Identify training methods that will be used for the training sessions (for example, world café sessions) • Identify needs for facilitators etc. that will be required for the sessions 				
<p>Conduct four (4) group training sessions with key identified stakeholders in collaboration with the national authorities and IUCN.</p>	<ul style="list-style-type: none"> • Liaise with the national authorities and IUCN to convene training sessions 				
<p>Undertake a post-training assessment against pre-training assessment.</p>	<ul style="list-style-type: none"> • Obtain participants feedback through post-training questionnaire • Determine training effectiveness by assessing post-training test results against pre-training test results • Consolidate learnings and findings into final consultancy report 				

5.2. Timetable for delivery of products:

Products	Expected date of delivery	Percentage of pay
1- Pre-training assessment report	4 weeks after signature of contract by both parties	20%
2- Training modules, handbook and materials	8 weeks after signature of contract by both parties	20%
3- Post-training assessment questionnaire	15 weeks after signature of contract by both parties.	20%
4- Final consultancy report	23 weeks after signature of contract by both parties	40%

- All products will be paid once delivered to IUCN's complete satisfaction.
- Disbursements will depend on the availability of funds from the donor.
- The consultant / consulting firm must consider in the technical proposal the modification of some deliverables during the execution of the contract, if it is necessary, to adapt some results, without affecting the amount of the original contract.

6. TECHNICAL PROFILE

For the development of this consultancy, the following profile is required:

- Academic background in public policy, social sciences, environmental law, industrial engineering, or related field.
- Strong understanding of environmental policy and legislation, preferably related to marine plastics or waste management.
- At least five years' experience in conducting trainings, multisectoral consultations, and developing training materials.
- Ability and excellence in English oral expression and writing skills.
- Proficiency in the usage of Microsoft Office applications and other online workspaces (e.g., Google Drive, Dropbox, WeTransfer).

7. PERIOD AND COORDINATION

The period of the consultancy will be 5 months.

Form of work (with Unit/Project): To achieve the products from this consultancy, the consultant is required to work closely with IUCN Technical Officer, Ms Dominique Finegan. The consultant is also expected to work closely and liaise with the National Project Focal Point within the Ministry of Tourism, Civil Aviation, Climate Resilience and the Environment, Mrs Aria St Louis and PWF National Project Assistant, Ms Kenisha Canning. The consultant will also coordinate and facilitate the training sessions with relevant stakeholders, in close coordination with the Environment Division of Grenada.

ANNEX 2: LETTER OF INTEREST

Fill in the information in blue

[Place and date]

TO: [IUCN]

The undersigned, [name of the professional], after having examined the Terms of Reference for the Contracting of the Professional Consulting Services for (name of the consultancy) and offers to perform these services in accordance with the call for date _____.

The attached Financial Proposal is for the total sum of [amount in letters and figures], which includes all taxes required by law.

The period of time in which the signatory of this document agrees to provide the services is from the date of signing the contract, until the date of termination thereof, without price variation, unless modifications are made resulting from contract negotiations.

The undersigned declares that all the information and statements made in the submitted proposals are true and that any misinterpretation contained in them may lead to disqualification.

Cordially,

Signature _____

Full name of the proposer or legal representative _____

ATTACHMENT 3a – SELF-EMPLOYED PROPOSER

DECLARATION in relation to RfP < Consultancy Service >

I, the undersigned, hereby confirm that I am self-employed and able to provide the service independent of any organisation or other legal entity.

Full name (as in passport):

Home or Office (please delete as appropriate) Address (incl. country):

I hereby authorise IUCN to store and use the information included in the attached Proposal for the purpose of evaluating Proposals and selecting the Proposal IUCN deems the most favourable. I acknowledge that IUCN is required to retain my Proposal in its entirety for 10 years after then end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

I further confirm that the following statements are correct:

1. I am legally registered as self-employed in accordance with all applicable laws.
2. I am fully compliant with all my tax and social security obligations.
3. I am free of any real or perceived conflicts of interest with regards to IUCN and its Mission.
4. I agree to declare to IUCN any real or perceived emerging conflicts of interests I may have concerning IUCN. I acknowledge that IUCN may terminate any contracts with me that would, in IUCN sole discretion, be negatively affected by such conflicts of interests.
5. I have never been convicted of grave professional misconduct or any other offence concerning my professional conduct.
6. I have never been convicted of fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation.
7. I acknowledge that engagement in fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation will entitle IUCN to terminate any and all contracts with me with immediate effect.
8. I am not included in the UN Security Council Sanctions List, EU Sanctions Map, US Office of Foreign Assets Control Sanctions List, or the World Bank listing of ineligible firms and individuals. I agree that I will not provide direct or indirect support to firms and individuals included in these lists.
9. I have not been, am not, and will not be involved or implicated in any violations of Indigenous Peoples' rights, or injustice or abuse of human rights related to other groups or individuals, including forced evictions, violation of fundamental rights of workers as defined by the International Labour Organization's (ILO) Declaration on the Fundamental Principles and Rights at Work, child labour, sexual exploitation, sexual abuse, or sexual harassment.

<Date and Signature>

ATTACHMENT 3b - For Consulting Companies

DECLARATION in relation to RfP <name of the Consultancy Service>

I, the undersigned, hereby confirm that I am an authorised representative of the following organisation:

Registered Name of Organisation (the "Organisation"): _____

Registered Address (incl. country): _____

Year of Registration: _____

I hereby authorise IUCN to store and use the information included in the attached Proposal for the purpose of evaluating Proposals and selecting the Proposal IUCN deems the most favourable. I acknowledge that IUCN is required to retain the Proposal in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

Where the Proposal includes Personal Data as defined by the European Union's General Data Protection Regulation (GDPR), I confirm that the Organisation has been authorised by each Data Subject to share this Data with IUCN for the purposes stated above.

I further confirm that the following statements are correct:

1. The Organisation is duly registered in accordance with all applicable laws.
2. The Organisation is fully compliant with all its tax and social security obligations.
3. The Organisation and its staff and representatives are free of any real or perceived conflicts of interest with regards to IUCN and its Mission.
4. The Organisation agrees to declare to IUCN any real or perceived emerging conflicts of interests it or any of its staff and representatives may have concerning IUCN. The Organisation acknowledges that IUCN may terminate any contracts with the Organisation that would, in IUCN sole discretion, be negatively affected by such conflicts of interests.
5. None of the Organisation's staff has ever been convicted of grave professional misconduct or any other offence concerning their professional conduct.
6. Neither the Organisation nor any of its staff and representatives have ever been convicted of fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation.
7. The Organisation acknowledges that engagement by itself or any of its staff in fraud, corruption, money laundering, supporting terrorism or involvement in a criminal organisation will entitle IUCN to terminate any and all contracts with the Organisation with immediate effect.
8. The Organisation is a going concern and is not bankrupt or being wound up, is not having its affairs administered by the courts, has not suspended business activities, is not the subject of proceedings concerning those matters, or in any analogous situation arising from a similar procedure provided for in national legislation or regulations.
9. The Organisation complies with all applicable environmental regulatory requirements or other legal requirements relating to sustainability and environmental protection.
10. The Organisation is not included in the UN Security Council Sanctions List, EU Sanctions Map, US Office of Foreign Assets Control Sanctions List, or the World Bank listing of ineligible firms and individuals. The Organisation agrees that it will not provide direct or indirect support to firms and individuals included in these lists.
11. The Organisation has not been, is not, and will not be involved or implicated in any violations of Indigenous Peoples' rights, or injustice or abuse of human rights related to other groups or individuals, including forced evictions, violation of fundamental rights of workers as defined by the International Labour Organization's (ILO) Declaration on the Fundamental Principles and Rights at Work, child labour, sexual exploitation, sexual abuse, or sexual harassment.

<Date and Signature of authorised representative of the Proposer>

< Name and position of authorised representative of the Proposer >

ATTACHMENT 4: HUMAN RESOURCES QUESTIONNAIRE

HR Questionnaire for Consultancy Contracts

Consultant / Company Name _____

Country of Residency _____

Required Checks

	Yes	No
Are payments linked to deliverables?	<input type="checkbox"/>	<input type="checkbox"/>
Does the consultant have official invoices?	<input type="checkbox"/>	<input type="checkbox"/>

Required documents

	Yes	No
Professional insurance/medical insurance policy statement, valid during the project's execution term	<input type="checkbox"/>	<input type="checkbox"/>
Civil responsibility policy: should include at least coverage for physical injuries and/or death of third persons, and coverage for damages to others' property	<input type="checkbox"/>	<input type="checkbox"/>

The Consultant understands that, in case of answering NO to any of the previous questions, it is his/her responsibility to assume any legal implication or cost that is derived from it to the detriment of IUCN.

Other Assignments

Name other organizations for which the consultant has previously worked for: _____

Previous assignments with the IUCN (please indicate the last three):

- 1.
- 2.
- 3.

Are you currently working on a consulting with IUCN? If so, please specify the name of the consultancy, the person responsible for the consultancy and the end date.

For Self-Employed Consultants:

Is the Consultant part of one of IUCN Commissions?

Yes ____ No ____

If so, please indicate which of the following Commissions:

- World Commission on Protected Areas
- International Law Commission
- Species Survival Commission
- Commission on Ecosystem Management
- Commission on Education and Communication
- Commission on Environmental, Economic and Social Policy

For Consultant Companies:

Is the Company/Organization an IUCN Member?

Yes No

Consultant Signature: _____

Date: _____